

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Xiaoru Wang, et al

POLYMER DYE PARTICLES
AND PROCESS FOR MAKING
POLYMER DYE PARTICLES

Serial No. (To be Assigned)

Filed Herewith

Commissioner for Patents
Washington, D.C. 20231

Group Art Unit: Unknown

Examiner: Unknown

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Date



EX. 9
6/26/02
#2

Sir:

INFORMATION DISCLOSURE STATEMENT FOR
CONSIDERATION BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication is attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

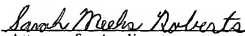
This Information Disclosure Statement is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the first Office action on the merits or before mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to either a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Statement is enclosed.

- III. ☐ This Statement is being filed under § 1.97(c), with a statement under, § 1.97(e) **prior** to either a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):
- ☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification statement under § 1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under § 1.97(d), with fee and statement under § 1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by § 1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Statement is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification Statement under § 1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

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Enclosures


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* FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee LIST OF ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		Art. Docket No. 83066SMR Customer No. 01333		Serial No. (To be Assigned)		
		Applicants: Xiaoru Wang, et al		10/20694 12/24/01		
		Filing Date Filed Herewith				
		Group Unknown				
U.S. PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,958,998	9/99	Foucher et al			
FOREIGN PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
s	WO 98/14524	4/98	PCT			
	JP 297126A	10/2000	Japan			X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
	U.S. Application Serial No. 09/510,879 of Huijuan D. Chen et al, filed February 23, 2000 "Ink Jet Printing Method"					
	U.S. Application Serial No. 09/_____ of Xiaoru Wang et al, "Ink Jet Ink Composition and Printing Method" (Docket 83067/SMR)					
EXAMINER				DATE CONSIDERED		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						